

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Group Art Unit:  
Brock, A. et al. : To Be Assigned  
Serial No.:To Be Assigned : IPLaw T81/B503  
: IBM Corporation  
Filed:Concurrently Herewith : PO Box 12195  
: RTP, NC 27709  
Title: Dynamic Intrusion Detection for Computer Systems



**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

By: David R. Irvin  
David R. Irvin, Agent  
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DRI/ssc  
Docket: RSW920010138US1  
Phone: 919-543-7810 / Fax: 919-254-4330

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.  
RSW920010138US1SERIAL NO.  
TO BE ASSIGNEDList of Patents and Publications for  
Applicant's Information Disclosure Statement

APPLICANT: Brock, A. et al.

(Use several sheets if necessary)

FILING DATE: To be Assigned

GROUP: To be Assigned

1c668 U.S. PTO  
09/901443  
07/09/01



## Reference Designation

## US PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
AA	5,793,288	08/11/98	Peterson et al.	340/567	09/26/95
AB	3,743,768	07/03/73	Copland	178/6.8	04/02/71
AC	5,278,901	01/11/94	Shieh et al.	380/4	04/30/92
AD	5,805,801	09/08/98	Holloway et al.	395/187.01	01/09/97
AE	4,959,809	09/25/90	Rogers et al.	364/581	12/28/88
AF	4,727,559	02/23/88	Yokoyama et al.	377/16	01/27/86

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class/Subclass	Translation Yes No
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## OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)

AG Debar, H. "What is behavior-based intrusion detection" SANS Institute Resources, Intrusion Detection (Version 0.91), 1999 SANS Institute

AH Halme, L. R. et al. "AINT Misbehaving: A Taxonomy of Anti-Intrusion Techniques" SANS Institute Resources, Intrusion Detection (Version 0.91), 1999 SANS Institute

AI Brock, A. et al. "Method of Operating an Intrusion Detection System According to a Set of Business Rules, IBM Patent Application, Serial #09/851,286 Filed May 8, 2001

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.